



FORM PTO-1449	SERIAL NO. 09/775,242	CASE NO. 8864/28
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE February 1, 2001	GROUP ART UNIT 2834
(use several sheets if necessary)		APPLICANT: Griffith D. Neal

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
[Handwritten mark]	A1	3,590,328	06/29/1971	Bert L. Frescura		
	A2	3,638,055	01/25/1972	Zimmermann		
	A3	3,874,073	04/01/1975	Dochterman et al.		
	A4	3,942,054	03/02/1976	Kristen et al.		
	A5	3,979,530	09/07/1976	Schwider et al.		
	A6	4,128,527	12/05/1978	Kinjo et al.		
	A7	4,352,897	10/05/1982	Ogata et al.		
	A8	4,387,311	06/07/1983	Kobayashi et al.		
	A9	4,492,889	01/08/1985	Fukushi et al.		
	A10	4,572,979	02/25/1986	Haar et al.		
	A11	4,679,313	07/14/1987	Schultz et al.		
	A12	4,760,299	07/26/1988	Dickie et al.		
	A13	4,801,833	01/31/1989	Dye		
	A14	4,853,576	08/01/1989	Mayumi et al.		
	A15	4,858,073	08/15/1989	Gregory		
	A16	4,868,970	09/26/1989	Schultz et al.		
	A17	4,954,739	09/04/1990	Schultz et al.		
	A18	5,008,572	04/16/1991	Marshall et al.		
	A19	5,036,580	08/06/1991	Fox et al.		
	A20	5,073,735	12/17/1991	Takagi		
	A21	5,075,585	12/24/1991	Teruyama et al.		
	A22	5,121,021	06/09/1992	Ward		
	A23	5,134,327	07/28/1992	Sumi et al.		
	A24	5,142,103	08/25/1992	Stine		
	A25	5,147,982	09/15/1992	Steffen		
	A26	5,206,554	04/27/1993	Perrot		
	A27	5,268,607	12/07/1993	McManus		
	A28	5,334,897	08/02/1994	Ineson et al.		
	A29	5,345,129	09/06/1994	Molnar		
	A30	5,382,852	01/17/1995	Yuhi et al.		
	A31	5,396,210	03/07/1995	Purohit et al.		
	A32	5,400,218	03/21/1995	Val		
	A33	5,414,317	05/09/1995	Reid et al.		
	A34	5,461,772	10/31/1995	Puri		
	A35	5,500,780	03/19/1996	Boutaghou et al.		
	A36	5,506,458	04/09/1996	Pace et al.		
	A37	5,541,787	07/30/1996	Jabbari et al.		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	SERIAL NO. 09/798,511	CASE NO. 8864/20
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 2, 2001	GROUP ART UNIT
(use several sheets if necessary)		APPLICANT(S): Griffith D. Neal

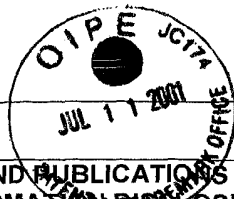
## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
JW	A38	5,548,458	08/20/1996	Pelstring et al.		
	A39	5,558,445	09/24/1996	Chen et al.		
	A40	5,579,188	11/26/1996	Dunfield et al.		
	A41	5,587,617	12/24/1996	Dunfield et al.		
	A42	5,598,048	01/28/1997	Dunfield et al.		
	A43	5,610,463	03/11/1997	Dunfield et al.		
	A44	5,619,083	04/08/1997	Dunfield et al.		
	A45	5,619,389	04/08/1997	Dunfield et al.		
	A46	5,621,372	04/15/1997	Purohit		
	A47	5,633,545	05/27/1997	Albrecht et al.		
	A48	5,666,242	09/09/1997	Edwards et al.		
	A49	5,668,427	09/16/1997	Morita		
	A50	5,672,927	09/30/1997	Viskochil		
	A51	5,675,196	10/07/1997	Huang et al.		
	A52	5,694,268	12/02/1997	Dunfield et al.		
	A53	5,698,919	12/16/1998	Obara		
	A54	5,728,600	03/17/1998	Saxelby, Jr. et al.		
	A55	5,729,404	03/17/1998	Dunfield et al.		
	A56	5,742,450	04/21/1998	Moser		
	A57	5,751,085	05/12/1998	Hayashi		
	A58	5,751,514	05/12/1998	Hyde et al.		
	A59	5,766,535	06/16/1998	Ong		
	A60	5,783,888	07/21/1998	Yamano		
	A61	5,806,169	09/15/1998	Trago et al.		
	A62	5,814,412	09/29/1998	Terada et al.		
	A63	5,850,318	12/15/1998	Dunfield		
	A64	5,880,179	03/09/1999	Ito et al.		
	A65	5,881,447	03/16/1999	Molnar		
	A66	5,942,824	08/24/1999	Shioya et al.		
	A67	5,958,466	09/28/1999	Ong		
	A68	5,973,424	10/26/1999	Engelberger et al.		
	A69	5,982,057	11/09/1999	Imada et al.		
	A70	5,986,365	11/16/1999	Kuwert et al.		
	A71	5,990,247	11/23/1999	Terada et al.		
	A72	6,002,185	12/14/1999	Nakao et al.		
	A73	6,019,516	02/01/2000	Leuthold et al.		
	A74	6,020,661	02/01/2000	Trago et al.		
	A75	6,034,841	03/07/2000	Albrecht et al.		
	A76	6,043,583	03/28/2000	Kurosawa et al.		

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A77	6,071,014	06/06/2000	Lee et al.	
	A78	6,075,304	06/13/2000	Nakatsuka	

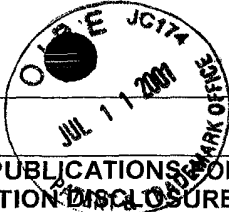
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
JW	A79	DT 25 39 492 A1	03/10/77	Germany	Abstract
	A80	870.878	01/15/79	Belgium	Abstract
	A81	891.258	03/16/82	Belgium	Abstract
	A82	SU 1334297	08/30/87	Soviet Union	Abstract
	A83	SU 1494148	07/15/89	Soviet Union	Abstract
	A84	2 647 958	12/07/90	France	Abstract
	A85	WO 92/06532	04/16/92	PCT	
	A86	05336722	12/17/93	Japan	Abstract
	A87	WO 96/20501	07/04/96	PCT	
	A88	WO 96/33533	10/24/96	PCT	
	A89	WO 97/39870	10/30/97	PCT	
	A90	EP 0 747 943 A2	12/11/96	EPO	
	A91	10070870	03/10/98	Japan	Abstract
	A92	410271719	10/09/1998	Japan	Abstract
	A93	EP 0 883 171 A1	12/09/98	EPO	Abstract
	A94	11082508	03/26/99	Japan	Abstract

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
JW	A95	LNP Engineering Plastics, Advertisement entitled "Konduit™ Thermally Conductive Composites," undated (2 pages)
	A96	Product Information from Dupont Engineering Polymers entitled "Electrical/Electronic Thermoplastic Encapsulation," undated, Publ. Reorder No.: H-58633 (R, 96.7), 20 pages.
	A97	LNP Engineering Plastics, Press Release entitled "LNP Introduces First-Ever Line of Thermally Conductive Compounds," January 28, 1999 (2 pages)
	A98	Buchanan Motor Works, Inc., article from the Internet entitled "Epoxy Seal - Prevents Down Time and Keeps Equipment Running Longer," 07/14/99, < <a href="http://www.bmwworks.com/VIP.htm">http://www.bmwworks.com/VIP.htm</a> >, 1 page.
	A99	The Epoxylite Corporation, article from the Internet entitled "Vacuum Pressure Impregnation (VPI) Systems", 11/19/99, < <a href="http://www.epoxylite.com/EpoxyliteEquipment.htm">http://www.epoxylite.com/EpoxyliteEquipment.htm</a> >, 3 pages.
	A100	Neeltran Inc., article from the Internet entitled "Vacuum Pressure Impregnation (VPI)", 11/19/99, < <a href="http://www.neeltran.thomasregister.com/olc/neeltran/neel9.htm">http://www.neeltran.thomasregister.com/olc/neeltran/neel9.htm</a> > 2 pages.

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EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
JW	A101	Copy of Search Report for PCT Application No. US00/19870 filed on July 19, 2000 (19.07.00) which is for a corresponding PCT case filed by the assignee Encap Motors Corporation who is also the assignee of this US application

EXAMINER INITIAL	U.S. Patent Applications			
		Filing Date	Serial No.	
JW	A102	Dec. 22, 1999	09/470,424	copy of claims as filed enclosed
	A103	Dec. 22, 1999	09/470,425	copy of claims as filed enclosed
	A104	Dec. 22, 1999	09/470,426	copy of claims as filed enclosed
	A105	Dec. 22, 1999	09/470,427	copy of claims as filed enclosed
	A106	Dec. 22, 1999	09/470,428	copy of claims as filed enclosed
	A107	Dec. 22, 1999	09/470,429	copy of claims as filed enclosed
	A108	Dec. 22, 1999	09/470,430	copy of claims as filed enclosed
	A109	Dec. 22, 1999	09/470,431	copy of claims as filed enclosed
	A110	Dec. 22, 1999	09/470,432	copy of claims as filed enclosed
	A111	Dec. 22, 1999	09/470,433	copy of claims as filed enclosed
	A112	Dec. 22, 1999	09/470,434	copy of claims as filed enclosed
	A113	Dec. 15, 2000	09/738,268	copy of claims as filed enclosed
	A114	Feb. 1, 2001	09/775,242	copy of claims as filed enclosed

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